Case No.: 59121US002

## ED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

SIMBAL, JOHN J.

Application No.:

10/726244

Group Art Unit:

2875

Filed:

December 2, 2003

Examiner:

Unknown

Title:

REFLECTIVE LIGHT COUPLER

## Information Disclosure Statement

Mail Stop: Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

I transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306.

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

A copy of the Search Report from a foreign counterpart application is enclosed.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

le, Reg. No.: 52,131

6ne No.: (651) 733-6750

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)	Application Number	10/726244
INFORMATION DISCLOSURE	Filing Date	December 2, 2003
STATEMENT BY APPLICANT	First Named Inventor	Simbal, John J.
1011 8	Art Unit	2875
(Use as many sheets as recessary)  AU6 1 5 2005 🎃	Examiner Name	Unknown
Page 1 of 1	Attorney Case Number	59121US002

	U.S. Patent Documents					
Exam.	Cite	ite Document Number		Publication Date or Issue Date	Name of Patentee	Pages, Columns, Lines, Where
Init.*	No.	Doc. No	umber-(Kind Code if Known)	MM-DD-YYYY	or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	A1	US-	3,825,335	07/1974	Reynolds, Robert	
	A2	US-	5,301,090	4/1994	Hed, Aharon Z.	
	А3	US-	6,402,347	06/2002	Maas et al.	
	A4	US-	6,527,411	03/2003	Sayers, Edwin Mitchell	
	A5	US-				
	A6	US-				
	A7	US-				
	A8	US-				

	Foreign Patent Documents						
Exam. Cite	Cite	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines,	Translation
Init.*	No.	Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	(Check if yes)
	B1						
	B2						
	В3						
	B4						
	B5						
	В6					-	
	B7						

	OTHER DOCUMENTS				
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)		
	C1				
	C2				
	СЗ				

*Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation conformance and not considered. Include copy of this form with ne	is in conformance with MPEP 609. Draw line through citation if not in ext communication to applicant.

Substitute for form 1449A/PTO (modified)	Application Number	10/726244
INFORMATION DISCLOSURE	Filing Date	December 2, 2003
STATEMENT BY APPLICANT	First Named Inventor	Simbal, John J.
OIPE	Art Unit	2875
(Use as many sheets as necessary)	Examiner Name	Unknown
Page 1 of 1 AU6 1 5 2005 (a)	Attorney Case Number	59121US002

	TRADEW.S. Patent Documents					
Exam. Cite		Document Number	Publication Date or Issue Date	Name of Patentee	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Init.*	No.	Doc. Number-(Kind Code if Known)	MM-DD-YYYY	or Applicant of Cited Document	Figures Appear	
	A1	US- 5,567,032	10/22/1996	Heizmann		
	A2	US- 5,713,654	02/03/1998	Scifres		
	А3	US- 6,045,240	04/04/2000	Hochstein		
	A4	US- 6,402,347	6/11/02	Maas et al.		
	A5	US- 6,414,801 B1	07/02/2002	Roller		
	A6	US- 6,821,143 B2 (Equiv. of FR2840151)	11/23/2004	Gasquet et al.		
	A7	US- 2004/0008952	1/15/04	Kragl		

			Fo	oreign Patent	Documents		
Exam. Cite		Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Translation
Init,*	No.	Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appea	
	B1	DE	199 23 187 A1	11/23/2000			_
	B2	DE	201 20 770 U1	05/02/2002			Abstract only
	В3	DE	101 10 835 A1	09/19/2002			Abstract only
	B4	DE	101 34 381 A1	01/23/2003			Abstract only
	B5	DE	101 62 404 A1	07/03/2003			Abstract only
	В6	EP	1 067 332 A2	01/10/2001			Abstract only
	B7	wo	01/59360 A1 (Equiv. of DE10005795)	08/16/2001			Abstract only
	В8	wo	02/054129	07/11/02			-
	В9	wo	02/086972 A1	10/31/2002			
	B10						

OTHER DOCUMENTS				
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)	
	C1			
	C2			
	СЗ			

*Examiner:	Date Considered:
	L

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO (modified)	Application Number	10/726244
INCORMATION DISCLOSURE	Filing Date	December 2, 2003
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor	Simbal, John J.
STATEMENT BY APPENDANT	Art Unit	2875
(Use as many sheets as necessary)  AU6 1 5 2005	Examiner Name	Unknown
Page 1 of 1	Attorney Case Number	59121115002

Exam.	Cite	Document Number	Publication Date or Issue Date	Name of Patentee	Pages, Columns, Lines, Where Relevant Passages or Relevant
Init.*	No.	Doc. Number-(Kind Code if Known)		or Applicant of Cited Document	Figures Appear
	A1	US- 6,556,734	4/29/2003	Bischel et al.	
	A2	US- 6,692,250	2/17/04	Decaudin et al.	
	А3	US- 5,301,090	4/05/1994	Hed	
	A4	US- 6/075,595	6/13/2000	Malinen	
	A5	US- 2003/0117691	6/26/03	Bi et al.	
	A6	US- 2003/0235800	12/25/03	Qadar	
	A7	US- 2004/0106968	6/03/04	Yamada	

	Foreign Patent Documents							
Exam.	Cite	For	eign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Translation	
Init.*	No.	Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	(Check if yes)	
	B1				:			
	B2							
	В3							
	B4							
	B5							
	В6							
	B7							

	OTHER DOCUMENTS				
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)		
•	C1				
	C2				
	СЗ				

*Examiner:	Date Considered:

Substitute for form 1449A/PTO (modified)	Application Number	10/726244
INFORMATION DISCLOSURE	Filing Date	December 2, 2003
STATEMENT BY APPLICANT	First Named Inventor	Simbal, John J.
	Art Unit	2875
(Use as many sheets as necessary)  AU6 1 5 2005 &	Examiner Name	Unknown
Page 1 of 1	Attorney Case Number	59121US002

		Ten.	ADEMARKE		
			U.S. Patent	Documents	
Exam.	Cite	Document Number	Publication Date or Issue Date	Name of Patentee	Pages, Columns, Lines, Where Relevant Passages or Relevant
Init.*	No.	Doc. Number-(Kind Code if Known)	MM-DD-YYYY	or Applicant of Cited Document	Figures Appear
	A1	US- 6,874,910	4/05/05	Sugimoto et al.	
	A2	US- 2003/0052594	3/20/03	Matsui et al.	
	А3	US- 2003/0189829	10/09/03	Shimizu et al.	
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			

	Foreign Patent Documents							
Exam.	Cite	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Translation	
Init.*	No.	Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	(Check if yes)	
	B1							
	B2						-	
	В3							
	B4							
	<b>B</b> 5						,	
	В6				-			
	В7							

	OTHER DOCUMENTS					
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)			
	C1					
	C2					
	СЗ					

*Examiner:	Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO (modified)	Application Number	10/726244
INFORMATION DISCLOSURE	Filing Date	December 2, 2003
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor	Simbal, John J.
STATEMENT BY APPECAR	Art Unit	2875
(Use as many sheets as necessary) AV6 1 5 2005 💩	Examiner Name	Unknown
Page 1 of 1	Attorney Case Number	59121US002

			AD EMAK!		
			U.S. Patent D	ocuments	
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date	Name of Patentee	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Doc. Number-(Kind Code if Known)	MM-DD-YYYY	or Applicant of Cited Document	Figures Appear
	A1	US-			
	A2	US-			
	А3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			

	Foreign Patent Documents								
Exam.	Cite	For	eign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Translation		
Init.*	No.	Ctry. Code	Number-KindCode (If known)		Applicant of Cited Document	or Relevant Figures Appear	(Check if yes)		
	B1								
	B2								
	В3								
	B4								
	B5								
	В6								
	B7								

	OTHER DOCUMENTS					
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)			
	C1	Žukauskas et al, <u>Introduction to Solid-State Lighting</u> , John Wiley & Sons, Inc., New York, 2002, pp. 166-167.				
	C2					
	СЗ					

*Examiner:	Date Considered:
	L. C.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.